

**DOCUMENT 00451C**

**CONSTRUCTION CONTRACTOR'S QUALIFICATION STATEMENTS  
(GENERAL CONTRACTOR PREQUALIFICATION PACKAGE)**

**NOTICE INVITING STATEMENTS OF QUALIFICATION**

Statements of qualification may be received in behalf of the Magna Water District at the office of Carollo Engineers, 7090 South Union Park Avenue, Suite 600, Midvale, Utah 84047 until 3:00 p.m. local time on Wednesday the 27th day of June 2018, at which time no more will be accepted for:

Water and Wastewater Treatment Upgrades Project

9910B.10

Prospective Bidders may obtain one digital PDF set of prequalification documents at no cost from Carollo Engineers, Inc. located at 7090 South Union Park Avenue, Suite 600, Midvale, Utah 84047.

The proposed work is generally described as follows:

The construction of water treatment improvements at the EDR Water Treatment Plant including a new fluoride system as well as wastewater improvements including new utility power facilities, chemical building, electrical building, new aeration equipment, new secondary clarifier, associated yard piping and sitework, standby power, and a new effluent pipeline with outfall structure for the Magna Wastewater Treatment Plant.

For copies of prequalification documents or additional information, contact:

Carollo Engineers  
John Richardson  
Work phone: 801-233-2500  
e-mail: [jrichardson@carollo.com](mailto:jrichardson@carollo.com)

The Owner reserves the right to reject any or all proposals not conforming to the intent and purpose of the prequalification documents.

Dated this 11<sup>th</sup> day of June, 2018

# **GENERAL CONTRACTOR**

# **PREQUALIFICATION PACKAGE**

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## INSTRUCTIONS FOR GENERAL CONTRACTORS

Prequalification documents will be received in behalf of the Magna Water District at:

Carollo Engineers  
Attn: John Richardson, P.E.  
7090 South Union Park Avenue, Suite 600  
Midvale, Utah 84047

Prequalification documents will not be accepted after 3:00 p.m. local time, Wednesday June 27<sup>th</sup>, 2018. Prequalified Bidders will be notified of exact date of availability of Bidding Documents. Bid Documents are expected to be available for on or before July 15<sup>th</sup>, 2018.

Prequalification of prospective contractor Bidders will be determined by the application of a pre-established pass/fail rating system to the following information to be submitted by prospective Bidders.

1. Statement of Financial Conditions;
2. Construction Experience;
3. Organization/Functions;
4. License;
5. Completion of Work by Surety;
6. Bonding Capacity;
7. Minimum Insurance Requirements;
8. Statement of Experience.

Contractors who (1) do not have the correct license, or (2) do not indicate in writing that a surety has not completed work on any contract in the past five years, or (3) do not provide written documentation of required bonding capacity, or (4) do not provide written documentation of minimum insurance requirements, or (5) have numerous violations with Department of Labor Standards Enforcement, or (6) do not have a qualified Project Manager and/or Field Superintendent experienced with similar projects, who will be assigned to this project, or (7) have not completed at least three contracts in the state of Utah in excess of \$14,000,000 in the past five years involving construction of the following will be considered unqualified and not allowed to purchase bid documents or submit bids:

- a) Chemical storage and feed systems for water treatment facilities, and
- b) Secondary treatment facilities including secondary clarifiers and aeration equipment for municipal wastewater treatment, and
- c) Mechanical equipment installation in oxidation ditches with associated shutdowns, coordination, and construction sequencing for municipal wastewater treatment facilities, and
- d) Underground concrete structures and process piping for municipal wastewater treatment facilities.

The evaluation is solely for the purpose of determining which Bidders are deemed qualified for successful performance of the type of work included in this project in a timely manner. The contract will be awarded to the lowest responsible prequalified Bidder that submits a responsive and responsible Bid for this work.

A committee consisting of members of OWNER's staff, ENGINEER and other consultants selected by OWNER will evaluate the completed Questionnaires and make recommendations to

OWNER. The OWNER will determine and identify the qualified Bidders in accordance with the following timetable. Written notification of this determination will be sent to each Bidder. Those Bidders, if any, determined not to be qualified to do the work, will have an opportunity to file a written appeal of the determination within two days after the date of the public meeting. If any such appeal is filed, OWNER will consider the appeal at a public hearing and render a decision on the appeal. The decision of OWNER regarding the appeal(s) announced at the public hearing will be final. The Bids of the qualified Bidders will be opened at a public meeting to be held at the offices of Magna Water District. All other Bids will be returned unopened.

The following is a tentative timetable of Bidder prequalification and opening of Bids:

Prequalification Submittals Due	June 27 <sup>th</sup> , 2018
Notice of Prequalification to Bidders	July 6 <sup>th</sup> , 2018
Estimated Invitation to Bid	July 15 <sup>th</sup> , 2018
Estimated Bid Opening	August 15 <sup>th</sup> , 2018

The criteria which will be used to determine the lowest responsive and responsible Bidder are as follows:

- A. Responsive Bidder: Means a Bidder who has submitted a Bid which conforms in all material respects to the Bidding Documents.
- B. Responsible Bidder: Means a Bidder who has the capacity and capability in all respects to perform fully the contract requirements and who has the integrity and reliability to assure good faith performance. Among factors to be considered in determining whether the Bidder meets these standards, are:
  - 1. Financial, material, equipment, facility, and personnel resources and expertise necessary to meet contractual requirements;
  - 2. A record of integrity;
  - 3. A record of Successful Completion defined as: completion of a project on time within a reasonable time and budget.
  - 4. Qualified legally to contract with the OWNER, and;
  - 5. Has not failed to supply any necessary information in connection with the inquiry concerning responsibility.

The Magna Water District, reserves the right to reject any or all responses to prequalification questionnaires and any or all Bids and to waive any irregularities in any response of Bids received.

Prospective Bidders desiring to be prequalified are hereby informed that they will be subject to and must fully comply with all of the requirements of the Bidding Documents, including the provision of a 100 percent payment bond and 100 percent performance bond, and all Affirmative Action Requirements and prevailing wage laws.

All information submitted for prequalification evaluation will be considered official information acquired in confidence and the Magna Water District will maintain its confidentiality to the extent permitted by law.

The Bidder must have a minimum of five (5) years' experience in projects similar in nature and scope to this project in the state of Utah. At least four (4) of the Bidder's Key Personnel must have minimum of five (5) years' experience in similar construction projects in the state of Utah. The Bidder must demonstrate Successful Completion of at least three projects similar in nature and scope to this project and three projects in the state of Utah with a dollar value in excess of \$14,000,000, all within the past five (5) years. The Bidder must demonstrate that it has an experienced employee who will serve as the scheduler; who is dedicated to this project; who has successfully employed scheduling techniques appropriate for this project. At least two (2) Key Personnel for this project must have completed at least three (3) projects in the state of Utah, similar in scope and nature to the project being bid.

## **Definitions**

- A. Key Personnel: Defined as individuals who will be directly assigned to this project. Includes, but is not limited to, the OWNER, the Principals of the Bidder, the Project Manager, the Project Superintendent, the Scheduler, the Bidder's Construction Engineer, and Supervisory personnel such as the Foremen who will be directly assigned to this project. Resumes of Key Personnel must be submitted and accepted by the OWNER in order for Bidder to receive the Award.
- B. Successful Completion: Defined as completion of a project within a reasonable time and budget.

# PREQUALIFICATION QUESTIONNAIRE

## WATER AND WASTEWATER TREATMENT UPGRADES GENERAL CONTRACTOR CONTRACT NUMBER 9910B.10

### MAGNA WATER DISTRICT

The Undersigned declares under penalty of perjury that all of the prequalification information submitted with this form is true and correct and that this Declaration was executed in Salt Lake County, Utah, on \_\_\_\_\_.

**For partnership only:  
List all General Partners**

\_\_\_\_\_  
(Signature and Title)

\_\_\_\_\_  
(Typed Name and Title)

\_\_\_\_\_  
(Firm Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City and State)

\_\_\_\_\_  
(Telephone Number)

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

All information submitted for prequalification evaluation will be considered official information acquired in confidence and the Magna Water District will maintain its confidentiality to the extent permitted by law. This includes the Contractor's Statement of Experience and Financial Condition to be submitted with this Questionnaire.

References contained in this Qualification Statement are an intricate part of Bidder's qualifications. References must be accurate. Bidder authorizes Carollo Engineers to verify any and all information contained in the Qualification Statement from references contained herein and hereby releases all those concerned providing information as a reference from any liability in connection with any information they give.

**1. Statement of Financial Condition**

Provide evidence that the proposed contractor has sufficient financial resources to provide all work necessary to complete the project including construction, startup and warranty services. Please indicate contractor's bond capacity and value of current work load. Provide a statement of litigation including record of judgments against proposed contractor within the past five (5) years. Provide the approximate dollar value that is in dispute. Provide a list of all current and past projects within the last five (5) years where liquidated damages and/or payments were withheld and explain why.

**2. Relevant Construction Experience**

Relevant construction experience requirements are as indicated in the Instructions For General Contractors. On Schedule A, attached, list municipal water and wastewater construction projects as well as relevant experience for projects constructed by this organization in the past five (5) years within the state of Utah (If joint venture, list each participant's projects separately).

**3. Current Projects**

On Schedule B, attached, list current projects under construction by this organization (If joint venture, list each participant's projects separately).

**4. Personnel Experience**

Furnish on Schedule C, attached, details of the qualifications, experience and availability of Bidder's key personnel who will be directly involved in this project.

**5. License Requirement**

Bidder must be licensed by the State of Utah as a General Engineering Contractor. Submit the following information:

A. Name of license holder, exactly as on file with the State of Utah License Board

\_\_\_\_\_

B. License Classification: \_\_\_\_\_

C. License Number: \_\_\_\_\_

D. Expiration Date: \_\_\_\_\_

E. Citations: \_\_\_\_\_

**6. Minimum Insurance Requirements**

A. Workers' Compensation and Employers' Liability Insurance: The liability limits, as required by state law, shall be not less than:

Workers' compensation:                      Statutory

Employers' liability:                              \$2,000,000 each occurrence



B. Comprehensive Automobile Liability Insurance: The liability limits shall be not less than:

Bodily injury and property damage:	\$2,000,000 combined single limit for each occurrence
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C. Commercial General Liability Insurance: The liability limits shall be not less than:

Bodily injury and property damage:	\$2,000,000 combined single limit for each occurrence
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\$2,000,000 general aggregate

D. Umbrella Liability Insurance: The liability limits shall be not less than:

Bodily injury and property damage:	\$5,000,000 combined single limit for each occurrence
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\$5,000,000 general aggregate

E. Property Insurance: CONTRACTOR shall purchase and maintain property insurance coverage for the Work at the site in the amount of the full replacement cost thereof. This insurance shall be written on a Builder's Risk "all-risk" or open peril or special causes of loss policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, Work in transit including ocean transit, and Work in storage at the project site or at another location acceptable to OWNER, and shall insure against at least the following perils: fire, lightning, extended coverage, theft, vandalism and malicious mischief, earthquake, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage, and damage caused by frost and freezing.

## 7. Ranking System

Statement of Qualifications will be ranked in accordance with the following system. Each statement will be reviewed and ranked based on the criteria and numerical values shown below.

A. General:

1. Statement Clarity (1-5).
2. Statement Completeness (1-5).

B. Relevant Experience/Performance:

1. Past Project Performance (1-25).
2. Timely Completion of Work (1-25).
3. Prompt Warranty Service (1-25).
4. Overall Successful Completion (1-25).

C. Project Team:

1. Key Personnel Qualifications (1-20).
2. Key Personnel Experience (1-25).
3. Key Personnel Availability (1-25).

- D. Financial Statement:
1. Value of Current Work (1-5).
  2. Pending Claims/Disputes (1-10).

**8. Additional Organization Background Information**

A. Other or former names under which your organization has operated?

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B. How many years of experience in construction work does your organization have:

(1) as General Contractor? \_\_\_\_\_ (2) as a Subcontractor? \_\_\_\_\_

C. How many years' experience in the proposed type and size of construction work has your organization had as a general contractor?

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D. Has any officer or partner of your Organization, failed to complete a Contract?

Yes ( ) No ( )

If Yes, give details, including dates.

E. In what other lines of business do you or your organization or any partner thereof, have financial interest?

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F. Name the persons with whom your company has associated in business as partners or business associates during the past five (5) years:

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G. Has your organization been assessed any penalties for noncompliance violations of the Federal or State labor laws and/or regulations within the past five (5) years?

Yes ( ) No ( )

If Yes, give details, including dates.

H. Does your organization have any ongoing investigations by any agency regarding violations of the State Labor Code, State Business and Professions Code, or State licensing laws?

Yes ( ) No ( )

If Yes, give details, including dates.

I. Has your organization been cited for violations of OSHA Standards and Requirements within the past five (5) years?

Yes ( ) No ( )

If Yes, give details, including dates.

J. Please explain all questions answered "yes" in questions D, G, H, and I above on a separate sheet of paper along with this document.

**SCHEDULE A**

**UTAH WATER AND WASTEWATER CONSTRUCTION PROJECTS COMPLETED IN PAST 5 YEARS**

Name, Location and Description of Project	Owner	Design Engineer	Date Completed	Contract Price	Reference/Contact Include Address and Phone	Project Relevant Experience (a, b, c, d)*

\* Indicate letter that corresponds to one or more of the following: (a) Chemical storage and feed systems for water treatment facilities; (b) Secondary treatment facilities including secondary clarifiers and aeration equipment for municipal wastewater treatment; (c) Mechanical equipment installation in oxidation ditches with associated shutdowns, coordination, and construction sequencing for municipal wastewater treatment facilities; (d) Underground concrete structures and process piping for municipal wastewater treatment facilities.

June 7, 2018  
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<b>SCHEDULE B</b>						
<b>CURRENT PROJECTS UNDER CONSTRUCTION</b>						
<b>Name, Location and Description of Project</b>	<b>Owner</b>	<b>Design Engineer</b>	<b>Contract Price</b>	<b>Amount Completed</b>	<b>Date of Scheduled Completion</b>	<b>Reference/Contact Include Address and Phone</b>

June 7, 2018  
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**SCHEDULE C**

**KEY PERSONNEL**

<b>Name</b>	<b>Position</b>	<b>Date started with this organization</b>	<b>Date started in construction</b>	<b>Prior positions and experience in construction</b>

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